701 PERSONAL NAME – ALTERNATIVE RESPONSIBILITY

Field Definition and Scope

This field contains the name of a person considered to have alternative responsibility for work, in access point form.

If the record is created according to cataloguing rules which do not recognise the concept of main entry or the source format does not separately identify the main entry, all personal names to be used as access points will be entered in Field 701.

Subfields & Occurrence

Field/Subfield	Field/Subfield Name	Repeatability	Occurrence
701	PERSONAL NAME – ALTERNATIVE RESPONSIBILITY	R	O
a	Entry Element	NR	MA
b	Part of Name Other than Entry Element	NR	O
c	Additions to Names Other than Dates	NR	O
d	Roman Numerals	NR	O
f	Dates	NR	O
g	Expansion of Initials of Forename	NR	O
k	Attribution Qualifier	R	O
o	International Standard Identifier for the Name	R	O
p	Affiliation/Address	NR	O
2	Source	NR	O
3	Authority Record Identifier or Standard Number	NR	O
4	Relator Code	R	O
8	Materials Specified	R	O

Indicators

Indicator	Value	Description
1	#	blank (not defined)
2		Form of name indicator
	0	Name entered under forename or direct order
	1	Name entered under surname (family name, patronymic, etc.)

Subfields Description

$a Entry Element

The portion of the name used as the entry element in the heading; that part of the name by which the name is entered in ordered lists. Mandatory when the field is present. Not repeatable.

$b Part of Name Other than Entry Element

The remainder of the name, used when the entry element is a surname or family name (see EX 1-11, 14 in the field 700). It contains forenames and other given names. The form of name indicator should be set to 1 when this subfield is used. Printing expansions of initials should be entered in $g. Not repeatable.

$c Additions to Names Other than Dates

Any additions to names (other than dates) which do not form an integral part of the name itself including titles, epithets or indications of office (see EX 6, 7, 8, 12, 15, 16 in the field 700). Repeatable for second or subsequent occurrences of such additions (see EX 8, 16 in the field 700).

$d Roman Numerals

Roman numerals associated with names of certain popes, royalty and ecclesiastics (see EX 12 in the field 700). If an epithet (or a further forename) is associated with the numeration, this too should be included (see EX 17 in field 700). The form of name indicator should be set to 0 when this subfield is used. Not repeatable.

$f Dates

The dates attached to personal names together with abbreviations or other indications of the nature of the dates. Any indications of the type of date (e.g., flourished, born, died) should be entered in the subfield in full or abbreviated form (see EX 15 in the field 700). All the dates for the person named in the field should be entered in $f. Not repeatable.

$g Expansion of Initials of Forename

The full form of forenames when initials are recorded in subfield $b as the preferred form and when both initials and the full form are required (see EX 2 in the field 700). Not repeatable.

$k Attribution Qualifier

Attribution information for names when the responsibility is unknown, uncertain, fictitious, or pseudonymous. Qualifiers should be used that follow the name of a known artist for the work. Repeatable.

$o International Standard Identifier for the Name

The ISNI or another international identifier assigned to the name recorded in the field.

The first four-character positions contain an alphabetic code specifying the nature of the identifier; in the case of an ISNI, this code corresponds to the letters preceding the number. Repeatable.

$p Affiliation/Address

This subfield contains the institutional affiliation of the individual at the time the work was prepared (see EX 18 in the field 700). Not repeatable.

$2 Source

An identification in coded form for the relator code schema from which the code in $4 is derived, when the code is not from UNIMARC Relator Codes. Not repeatable.

$3 Authority Record Identifier or Standard Number

The identifier for the authority record for the access point. This subfield is for use with UNIMARC/Authorities format. (See EX 3 in the field 700). Not repeatable.

$4 Relator Code

A code used to designate the relationship between the person named in the field and the bibliographic resource to which the record refers.

Relator codes can be either numerical or alphabetical. The list of numerical codes is to be found in Appendix B. If greater precision is required for performers, a list of alphabetical codes is found at the end of field 146. An agency requiring greater precision should use the numeric code followed by the more specific alphabetic code in a repeated subfield. If other systems are used $4 can be used to carry other codes, followed by $2. Repeatable.

$8 Materials Specified

Part of the described materials to which the field applies. Repeatable.

Notes on Field Contents

Form

The form of name which appears in the field is determined by the appropriate cataloguing rules and/or authorities used by the agency responsible for the preparation of the record. Dates may be entered according to different calendars.

Selection of Subfields

It may not always appear obvious, when presented with a source record to be converted to UNIMARC, which sub-elements of the name in a source format correspond to which UNIMARC subfields. These notes are intended to give general guidance but are not exhaustive. It is necessary to be aware that names formed according to different cataloguing rules, or even originating from different authority files based on the same rules will not always interfile correctly in one sequence. Until standards are established UNIMARC can provide only a framework for identifying the distinct data elements.

One way of conceiving of the differences between Entry Element ($a), Part of Name Other than Entry Element ($b), and Additions to Names Other than Dates ($c) is by referring to their use. The first element, entry element, is the word under which the entry would be formed in an ordered list. The second element subdivides the list ordered by the first element. The third element, Additions to Names Other than Dates, will either be used as a third filing element, or may in some cases, especially when it precedes the Part of Name Other than Entry Element, be ignored for ordering purposes.

Where family names begin with a particle such as a preposition, it will be placed at the start of subfield $a. If the name files under the next element after the particle, the particle will usually be placed at the end of the name. It is recommended that these particles be entered in subfield $b Part of Name Other than Entry Element (see examples in field 700).

Titles of address, epithets or qualifiers to names added by the cataloguer should be entered as Additions to Names Other than Dates, subfield $c.

Punctuation

There are no standards for punctuation in this field. However, it is recommended that punctuation be retained where it is available in the source format. In source formats where punctuation is not available, but is printed out by an algorithm generated from the definition of the subfields, it is recommended that the punctuation which would be used in display be included in the UNIMARC record.

Because there are no standards for punctuation in this field, recipients of records in the UNIMARC format will have to be aware of the practices adopted by the agency preparing the record; therefore agencies distributing records should attempt to be consistent in their own records. Details should be included in the documentation accompanying exchange tapes (see Appendix D).

Related Fields

200 TITLE AND STATEMENT OF RESPONSIBILITY, subfield $f , First Statement of Responsibility	Data in field 200 is recorded as it appears on the resource. When there are two or three names in the first statement of responsibility, subsequent names after the first will often be names of persons with alternative responsibility and will be entered in field 701 in access point form.
700 PERSONAL NAME – PRIMARY RESPONSIBILITY	When field 701 is used strictly for names of persons having alternative responsibility, field 700 (or rarely 710) must be present. If field 701 is used because the cataloguing rules do not recognise the concept of main entry, then field 700 will not be present.
702 PERSONAL NAME – SECONDARY RESPONSIBILITY	When there are persons considered to have less responsibility than the persons named in fields 700 and 701, they should be entered in field 702.

Examples

EX 1
700 #1$aNewton,$bClive R.
701 #1$aParker,$bR.S

The above is an example including an instance of a person with alternative responsibility. The statement of responsibility on the title page is "by Clive R. Newton and Robert S. Parker". The established form for Robert S. Parker is Parker, R.S.

History

1994	New subfields/values: Addition of new subfield $p Affiliation/address.
2005	Field issued/re-issued with corrections/additions.
2024	Text edit.