

703 PERSONAL NAME – PROVENANCE OR OWNERSHIP

Field Definition and Scope

This field contains the name of a person regarding any type of provenance and/or ownership (previous ownership or custodianship, author of signature, informal dedication, annotations, etc.), that apply uniquely to the item, in access point form.

Subfields & Occurrence

Field/Subfield	Field/Subfield Name	Repeatability	Occurrence
703	PERSONAL NAME – PROVENANCE OR OWNERSHIP	R	O
a	Entry Element	NR	MA
b	Part of Name Other than Entry Element	NR	O
c	Additions to Names Other than Dates	NR	O
d	Roman Numerals	NR	O
f	Dates	NR	O
g	Expansion of Initials of Forename	NR	O
k	Attribution Qualifier	R	O
o	International Standard Identifier for the Name	R	O
p	Affiliation/Address	NR	O
2	Source	NR	O
3	Authority Record Identifier or Standard Number	NR	O
4	Relator Code	R	O
8	Materials Specified	R	O
5	Institution to which the Field Applies	NR	O

Indicators

Indicator	Value	Description
1	#	blank (not defined)
2		Form of name indicator
	0	Name entered under forename or direct order
	1	Name entered under surname (family name, patronymic, etc.)

Subfields Description

\$a Entry Element

The portion of the name used as the entry element in the heading; that part of the name by which the name is entered in ordered lists. Mandatory when the field is present. Not repeatable.

\$b Part of Name Other than Entry Element

The remainder of the name, used when the entry element is a surname or family name (see [EX 1-11](#), [14](#) in the field 700). It contains forenames and other given names. The form of name indicator should be set to 1 when this subfield is used. Printing expansions of initials should be entered in \$g. Not repeatable.

\$c Additions to Names Other than Dates

Any additions to names (other than dates) which do not form an integral part of the name itself including titles, epithets or indications of office (see [EX 6](#), [7](#), [8](#), [12](#), [15](#), [16](#) in the field 700). Repeatable for second or subsequent occurrences of such additions (see [EX 8](#), [16](#) in the field 700).

\$d Roman Numerals

Roman numerals associated with names of certain popes, royalty and ecclesiastics (see [EX 12](#) in the field 700). If an epithet (or a further forename) is associated with the numeration, this too should be included

(see [EX 17](#) in field 700). The form of name indicator should be set to 0 when this subfield is used. Not repeatable.

\$f Dates

The dates attached to personal names together with abbreviations or other indications of the nature of the dates. Any indications of the type of date (e.g., flourished, born, died) should be entered in the subfield in full or abbreviated form (see [EX 15](#) in the field 700). All the dates for the person named in the field should be entered in \$f. Not repeatable.

\$g Expansion of Initials of Forename

The full form of forenames when initials are recorded in subfield \$b as the preferred form and when both initials and the full form are required (see [EX 2](#) in the field 700). Not repeatable.

\$k Attribution Qualifier

Attribution information for names when the responsibility is unknown, uncertain, fictitious, or pseudonymous. Qualifiers should be used that follow the name of a known artist for the work. Repeatable.

\$o International Standard Identifier for the Name

The ISNI or another international identifier assigned to the name recorded in the field.

The first four-character positions contain an alphabetic code specifying the nature of the identifier; in the case of an ISNI, this code corresponds to the letters preceding the number. Repeatable.

\$p Affiliation/Address

This subfield contains the institutional affiliation of the individual at the time the work was prepared (see [EX 18](#) in the field 700). Not repeatable.

\$2 Source

An identification in coded form for the relator code schema from which the code in \$4 is derived, when the code is not from UNIMARC Relator Codes. Not repeatable.

\$3 Authority Record Identifier or Standard Number

The identifier for the authority record for the access point. This subfield is for use with UNIMARC/Authorities format. (See [EX 3](#) in the field 700). Not repeatable.

\$4 Relator Code

A code used to designate the relationship between the person named in the field and the bibliographic resource to which the record refers.

Relator codes can be either numerical or alphabetical. The list of numerical codes is to be found in [Appendix B](#). If greater precision is required for performers, a list of alphabetical codes is found at the end of field 146. An agency requiring greater precision should use the numeric code followed by the more specific alphabetic code in a repeated subfield. If other systems are used \$4 can be used to carry other codes, followed by \$2. Repeatable.

\$8 Materials Specified

Part of the described materials to which the field applies. Repeatable.

\$5 Institution to which the Field Applies

Name of the institution to which field applies in the coded form if it is considered necessary by the agency which creates the record to identify specific attributes of an item.

If the subfield is used codes should be entered in accordance with the provisions of International Standard Identifier for Libraries and Related Organizations (ISIL), [ISO 15511](#) or may contain codes from [MARC Code List for Organizations](#), otherwise, the full name of the agency or a national code may be used.

If the institution holds more than one copy the shelfmark of the item should be added after a colon. Not repeatable.

Notes on Field Contents

This field is primarily intended for use in holdings records. However, if the agency which create the record does not use the UNIMARC Holdings Format, and the attributes of the resource are created at bibliographic record level, this field is used, where applicable, for entities (personal names) that have any kind of provenance and / or ownership regarding a specific item.

Subfield \$5, code of the Institution to Which Field Applies, is used only when the provenance or ownership apply to a specific item.

Form

The form of name which appears in the field is determined by the appropriate cataloguing rules and/or authorities used by the agency responsible for the preparation of the record. Dates may be entered according to different calendars.

Selection of Subfields

It may not always appear obvious, when presented with a source record to be converted to UNIMARC, which sub-elements of the name in a source format correspond to which UNIMARC subfields. These notes are intended to give general guidance but are not exhaustive. It is necessary to be aware that names formed according to different cataloguing rules, or even originating from different authority files based on the same rules will not always interfile correctly in one sequence. Until standards are established UNIMARC can provide only a framework for identifying the distinct data elements.

One way of conceiving of the differences between Entry Element (\$a), Part of Name Other than Entry Element (\$b), and Additions to Names Other than Dates (\$c) is by referring to their use. The first element, entry element, is the word under which the entry would be formed in an ordered list. The second element subdivides the list ordered by the first element. The third element, Additions to Names Other than Dates, will either be used as a third filing element, or may in some cases, especially when it precedes the Part of Name Other than Entry Element, be ignored for ordering purposes.

Where family names begin with a particle such as a preposition, it will be placed at the start of subfield \$a. If the name files under the next element after the particle, the particle will usually be placed at the end of the name. It is recommended that these particles be entered in subfield \$b Part of Name Other than Entry Element (see examples in field 700).

Titles of address, epithets or qualifiers to names added by the cataloguer should be entered as Additions to Names Other than Dates, subfield \$c.

Related Fields

713 CORPORATE BODY NAME – PROVENANCE OR OWNERSHIP

723 FAMILY NAME – PROVENANCE OR OWNERSHIP

Examples

EX 1

317 ##\$aPert. na f. [2]: “T. NORTON”\$5PTBN: CAM. 2 P.

317 ##\$aNota manuscrita na folha de guarda: “Pertencia a livraria de D. Francisco Manuel de Mello”; na p. de tit.: “Manoel Lopes Teixr.”; na última f.: “D. Jer.mo Correa da Costa”\$5PTBN: CAM. 4 P.

703 #1\$aNorton,\$bTomás,\$f1800?-1860\$4390\$5PTBN: CAM. 2 P.

703 #1\$aManuel,\$bFrancisco de Melo,\$f1773-1851\$4390\$5PTBN: CAM. 4 P.

703 #1\$aTeixeira,\$bManuel Lopes\$4390\$5PTBN: CAM. 4 P.

703 #1\$aCosta,\$bJerónimo Correia da\$4390\$5PTBN: CAM. 4 P.

EX 2

317 ##\$aPert. na f. [2]: “LIVRARIA DE D. FRANC. MANUEL” (carimbo)\$5PTBN: CAM. 1 P.

703 #1\$aManuel,\$bFrancisco de Melo,\$f1773-1851\$4390\$5PTBN: CAM. 1 P.
EX 3
703 #1\$aRato,\$bBrito,\$f1899-1982\$4320

History

2012	New field.
2024	Text edit.