

## 702 PERSONAL NAME – SECONDARY RESPONSIBILITY

### Field Definition and Scope

This field contains the name of a person considered to have secondary responsibility for a work, in access point form.

In the majority of cataloguing codes, the existence of a person with secondary responsibility does not necessarily imply the existence of a person with primary responsibility in the record. Therefore field 702 can be present without a field 700, 710 or 720.

### Subfields & Occurrence

Field/Subfield	Field/Subfield Name	Repeatability	Occurrence
702	PERSONAL NAME – SECONDARY RESPONSIBILITY	R	O
a	Entry Element	NR	MA
b	Part of Name Other than Entry Element	NR	O
c	Additions to Names Other than Dates	NR	O
d	Roman Numerals	NR	O
f	Dates	NR	O
g	Expansion of Initials of Forename	NR	O
k	Attribution Qualifier	R	O
o	International Standard Identifier for the Name	R	O
p	Affiliation/Address	NR	O
r	Part or Role Played	R	O
2	Source	NR	O
3	Authority Record Identifier or Standard Number	NR	O
4	Relator Code	R	O
8	Materials Specified	R	O
5	Institution to which the Field Applies	NR	O
6	Interfield Linking Data	R	O

### Indicators

Indicator	Value	Description
1	#	blank (not defined)
2		Form of name indicator
	0	Name entered under forename or direct order
	1	Name entered under surname (family name, patronymic, etc.)

### Subfields Description

#### \$a Entry Element

The portion of the name used as the entry element in the heading; that part of the name by which the name is entered in ordered lists. Mandatory when the field is present. Not repeatable.

#### \$b Part of Name Other than Entry Element

The remainder of the name, used when the entry element is a surname or family name (see [EX 1-11](#), [14](#) in the field 700). It contains forenames and other given names. The form of name indicator should be set to 1 when this subfield is used. Printing expansions of initials should be entered in \$g. Not repeatable.

#### \$c Additions to Names Other than Dates

Any additions to names (other than dates) which do not form an integral part of the name itself including titles, epithets or indications of office (see [EX 6](#), [7](#), [8](#), [12](#), [15](#), [16](#) in the field 700). Repeatable for second or subsequent occurrences of such additions (see [EX 8](#), [16](#) in the field 700).

**\$d Roman Numerals**

Roman numerals associated with names of certain popes, royalty and ecclesiastics (see [EX 12](#) in the field 700). If an epithet (or a further forename) is associated with the numeration, this too should be included (see [EX 17](#) in field 700). The form of name indicator should be set to 0 when this subfield is used. Not repeatable.

**\$f Dates**

The dates attached to personal names together with abbreviations or other indications of the nature of the dates. Any indications of the type of date (e.g., flourished, born, died) should be entered in the subfield in full or abbreviated form (see [EX 15](#) in the field 700). All the dates for the person named in the field should be entered in \$f. Not repeatable.

**\$g Expansion of Initials of Forename**

The full form of forenames when initials are recorded in subfield \$b as the preferred form and when both initials and the full form are required (see [EX 2](#) in the field 700). Not repeatable.

**\$k Attribution Qualifier**

Attribution information for names when the responsibility is unknown, uncertain, fictitious, or pseudonymous. Qualifiers should be used that follow the name of a known artist for the work. Repeatable.

**\$o International Standard Identifier for the Name**

The ISNI or another international identifier assigned to the name recorded in the field.

The first four-character positions contain an alphabetic code specifying the nature of the identifier; in the case of an ISNI, this code corresponds to the letters preceding the number. Repeatable.

**\$p Affiliation/Address**

This subfield contains the institutional affiliation of the individual at the time the work was prepared (see [EX 18](#) in the field 700). Not repeatable.

**\$r Part or Role Played**

The name of a role interpreted, played or sung by the person designed by the access point. This subfield is especially used in records for audiovisual material (motion pictures, sound or video recordings of theatre plays or operas or other vocal works). It is used when \$4 is present and contains values such as “275” (Dancer), “721” (Singer), etc. ([EX 6](#)). Repeatable.

**\$2 Source**

An identification in coded form for the relator code schema from which the code in \$4 is derived, when the code is not from UNIMARC Relator Codes. Not repeatable.

**\$3 Authority Record Identifier or Standard Number**

The identifier for the authority record for the access point. This subfield is for use with UNIMARC/Authorities format. (See [EX 3](#) in the field 700). Not repeatable.

**\$4 Relator Code**

A code used to designate the relationship between the person named in the field and the bibliographic resource to which the record refers.

Relator codes can be either numerical or alphabetical. The list of numerical codes is to be found in [Appendix B](#). If greater precision is required for performers, a list of alphabetical codes is found at the end of field 146. An agency requiring greater precision should use the numeric code followed by the more specific alphabetic code in a repeated subfield. If other systems are used \$4 can be used to carry other codes, followed by \$2. Repeatable.

**\$8 Materials Specified**

Part of the described materials to which the field applies. Repeatable.

### **\$5 Institution to which the Field Applies**

Name of the institution to which field applies in the coded form if it is considered necessary by the agency which creates the record to identify specific attributes of an item.

If the subfield is used codes should be entered in accordance with the provisions of International Standard Identifier for Libraries and Related Organizations (ISIL), [ISO 15511](#) or may contain codes from [MARC Code List for Organizations](#), otherwise, the full name of the agency or a national code may be used.

If the institution holds more than one copy the shelfmark of the item should be added after a colon. Not repeatable. ([EX 2-5](#)).

### **\$6 Interfield Linking Data**

This subfield contains information allowing the field to be linked for processing purposes to other fields in the record. (See section 3.13. Interfield Linking Data, 3 Format structure).

It is envisaged that these will normally be one of the fields 620 ([EX 5](#)) or 621.

### **Notes on Field Contents**

The field is used, where applicable, for printers and publishers.

For former owners and other connected with the production or history of particular volumes use field 703 Personal Name – Provenance or Ownership.

Subfield \$5, code of the Institution to Which Field Applies is used only when the physical characteristics are peculiar to an individual copy. It is generally used for older monographic publications (antiquarian).

### **Form**

The form of name which appears in the field is determined by the appropriate cataloguing rules and/or authorities used by the agency responsible for the preparation of the record. Dates may be entered according to different calendars.

### **Selection of Subfields**

It may not always appear obvious, when presented with a source record to be converted to UNIMARC, which sub-elements of the name in a source format correspond to which UNIMARC subfields. These notes are intended to give general guidance but are not exhaustive. It is necessary to be aware that names formed according to different cataloguing rules, or even originating from different authority files based on the same rules will not always interfile correctly in one sequence. Until standards are established UNIMARC can provide only a framework for identifying the distinct data elements.

One way of conceiving of the differences between Entry Element (\$a), Part of Name Other than Entry Element (\$b), and Additions to Names Other than Dates (\$c) is by referring to their use. The first element, entry element, is the word under which the entry would be formed in an ordered list. The second element subdivides the list ordered by the first element. The third element, Additions to Names Other than Dates, will either be used as a third filing element, or may in some cases, especially when it precedes the Part of Name Other than Entry Element, be ignored for ordering purposes.

Where family names begin with a particle such as a preposition, it will be placed at the start of subfield \$a. If the name files under the next element after the particle, the particle will usually be placed at the end of the name. It is recommended that these particles be entered in subfield \$b Part of Name Other than Entry Element (see examples in field 700).

Titles of address, epithets or qualifiers to names added by the cataloguer should be entered as Additions to Names Other than Dates, subfield \$c.

### **Punctuation**

There are no standards for punctuation in this field. However, it is recommended that punctuation be retained where it is available in the source format. In source formats where punctuation is not available,

but is printed out by an algorithm generated from the definition of the subfields, it is recommended that the punctuation which would be used in display be included in the UNIMARC record.

Because there are no standards for punctuation in this field, recipients of records in the UNIMARC format will have to be aware of the practices adopted by the agency preparing the record; therefore agencies distributing records should attempt to be consistent in their own records. Details should be included in the documentation accompanying exchange tapes (see [Appendix D](#)).

## Related Fields

200 TITLE AND STATEMENT OF RESPONSIBILITY, subfields \$f and \$g, First Statement of Responsibility and Subsequent Statement of Responsibility	Data in field 200 is recorded as it appears on the resource. The name of the person mentioned in \$f or in \$g to whom is attributed secondary responsibility for the resource (if any) will be entered in Field 702 in access point form.
700 PERSONAL NAME – PRIMARY RESPONSIBILITY	The name of the first person mentioned in the title page with primary responsibility should be entered in field 700. Names of persons with secondary responsibility are entered in 702.
701 PERSONAL NAME – ALTERNATIVE RESPONSIBILITY	Alternative means a second or further instance of primary responsibility. However, if the record is catalogued according to rules which do not recognise the concept of main entry or the source format does not make the distinction, all personal names should be entered in field 701.

## Examples

EX 1
702 #1\$aIrvin\$bThomas Francis\$4440
The name of a person with secondary responsibility including a relator code that he is an illustrator.
EX 2
702 #1\$aCunningham\$bArthur\$4110\$5Uk: X.200/175
Binder of a British Library copy, pressmark X.200/175.
EX 3
702 #1\$aŠkrlec\$bNikola\$4390\$5CiZaNSB: L III H13
702 #1\$aKušević\$bJosip\$4390\$5CiZaNSB: L III H13
Book with book-plate by Nikola Škrlec (a former owner) is part of the Kušević's Library now stored in the National and University Library, Zagreb.
EX 4
317 ##\$aRukopisni ex libris: 'Velimir Gaj 1873'. Iz knjižnice Ljudevita Gaja\$5CiZaNSB IIC-8° -75
702 #1\$aGaj\$bVelimir\$4390\$5CiZaNSB: IIC-8° -75
702 #1\$aGaj\$bLjudevit\$4390\$5CiZaNSB: IIC-8° -75
Velimir Gaj inherited his father's, Ljudevit Gaj's, Library which he later sold to the present owner, National and University Library, Zagreb. The Library is known after his father's name.
A further example of the use of this field appears as EX 5 of the 317 field.
EX 5
702 #1\$6b01\$aByde\$bEdward\$fd. 1712\$4390\$5UK-WIAbNL: WingU124
702 #1\$6b02\$aDimsdale\$bThomas\$cBaron\$f1712-1800\$4390\$4320\$5UK-WIAbNL: WingU124
702 #1\$6b02\$aZachary\$bJohn\$4390\$5UK-WIAbNL: WingU124
702 #1\$6b03\$aClark\$bGeorge Thomas\$f1809-1898\$4390\$5UK-WIAbNL: WingU124
Place and date of provenance:

621 ##\$6b01\$aGreat Britain\$bEngland\$cHertfordshire\$dWare\$eWare Park\$5UK-WlAbNL: WingU124
621 ##\$6b02\$aGreat Britain\$f17731227
621 ##\$6b03\$aGreat Britain\$bWales\$cGlamorgan\$dPont-y-clun\$eTal-y-garn\$5UK-WlAbNL: WingU124
Fields for secondary responsibility and associated provenance fields for copy of <i>Nicolai Vptoni De studio militari, libri quatuor. ... Londini, 1654</i> , in National Library of Wales. Closely related data in the 621 and 702 fields are linked by \$6 subfields. Subfield \$5 links all the item specific fields. See 316 <a href="#">EX 15</a> and 317 <a href="#">EX 10</a> .
EX 6
200 1#\$a≠NSB≠L'≠NSE≠enfant et les sortilèges\$fMaurice Ravel, comp.\$gFlore Wend (l'enfant), Marie Lise de Montmolin (la mère, la tasse chinoise, la libellule), MS\$gGeneviève Touraine (la bergère, la chatte, la chauve-souris), S... [et al.]\$gOrchestre de la Suisse romande\$gErnest Ansermet, dir.
300 \$autres interprètes : Adrienne Migliette (le feu, le rossignol), Suzette Danco (la princesse, l'écureuil), Gisèle Bobillier (la chouette, un pâtre), A ; Hugues Cuenod (le petit vieillard, la rainette), T ; Pierre Mollet (l'horloge comtoise, le chat) BAR ; Lucien Lovano (le fauteuil; un arbre), B
700 #1\$313898840\$aRavel\$bMaurice\$f1875-1937\$4230
702 #1\$314238560\$aWend\$bFlore\$f1909-....\$4721\$4vms\$rl'enfant
702 #1\$313932816\$aMontmolin\$bMarie-Lise de\$4721\$4vms\$rla mère\$rla tasse chinoise\$rla libellule
702 #1\$314010343\$aTouraine\$bGeneviève\$f1903-1981\$4721\$4vms\$rla bergère\$rla chatte\$rla chauve-souris
702 #1\$313890812\$aAnsermet\$bErnest\$f1883-1969\$4590
The choice was made to create an access only to three of the interpretations of the work. The other singers are only mentioned in a note (including the roles they sing). Nearly all singers have more than one role in the opera.
EX 7
702 #1\$aWalter\$bThomas Ustick\$f1804-1887\$4070
702 #1\$aCrawford\$bThomas\$f1813 or 1814-1857\$4705
702 #1\$aMeigs\$bMontgomery C.\$gMontgomery Cunningham\$f1816-1892\$jengineer\$4570
Record describes photograph of maquette showing Indian mother and child, detail of "Progress of Civilization" at east pediment of the Senate wing, U.S. Capitol. The figures of "Progress and Civilization" were designed by American sculptor Thomas Crawford; architect Thomas Ustick Walter designed the extension and dome of the U.S. Capitol; Montgomery C. Meigs was supervising engineer for the Capitol extension project. Appropriate creator roles are specified in subfields \$4.
EX 8
700 #1\$aFalconet\$bÉtienne-Maurice\$f1716-1791\$4705
702 #1\$8head of Peter I\$aCollot\$bMarie-Anne\$f1748-1821\$4705
702 #1\$8serpent\$aGordeyev\$bFyodor\$\$f1744-1810\$4705
Record describes the monument "Bronze Horseman" created by Étienne-Maurice Falconet whereas the head of Peter I was made by Marie-Anne Collot; Fyodor Gordeyev sculpted the serpent beneath the horse's hooves; Appropriate creator roles are specified in subfields \$4, creator extent – in subfields \$8.
EX 9
702 #1\$6z01623\$aHannah\$bDaryl\$4005
702 #1\$6z02623\$aReed\$bPamela\$4005
702 #1\$6z03623\$aRemar\$bJames\$4005
Characters
323 ##\$aCast: Daryl Hannah (Ayla), Pamela Reed (Iza), James Remar (Creb)
623 ##\$6z01702\$aAyla
623 ##\$6z02702\$aIza

623 ##\$6z03702\$aCreb
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Main characters and cast in Michael Chapman's film <i>The clan of the cave bear</i> .
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## History

1994	New subfields/values: Addition of new subfield \$p Affiliation/address.
1996	New paragraphs/subfield/examples.
2005	Field issued/re-issued with corrections/additions.
2008	Added subfield \$5. Field may be linked to indicate to which specific copy a specific instance of a field relates.
2012	Corrections and additions.
2024	Text edit.